**1. General Information**

|  |  |
| --- | --- |
| Name of Respondent |  |
| Principal contact person   Name   Title   Company   Mailing address   Telephone number (office)   Telephone number (cell)   Fax number   E-mail address |  |
| Secondary contact person (if any)   Name   Title   Company   Mailing address   Telephone number (office)   Telephone number (cell)   Fax number   E-mail address |  |
| Legal form of business organization of Respondent (e.g., sole proprietorship, partnership, limited partnership, joint venture, or corporation) |  |
| State(s) of incorporation, residency and organization  Indicate whether Respondent is in good standing in all states in which Respondent is authorized to do business and, if not, which states and the reason it is not. |  |
| If Respondent is a partnership, the names of all general and limited partners.  If Respondent is a limited liability company, the names of all direct owners. |  |
| Description of Respondent and all affiliated entities and joint ventures transacting business in the energy sector |  |

**2. Financial Information**

|  |  |
| --- | --- |
| Current debt rating for Respondent (include ratings and names of rating agencies). |  |
| Date Respondent’s last fiscal year ended. |  |
| Total revenue for Respondent for the most recent fiscal year. |  |
| Total net income for Respondent for the most recent fiscal year. |  |
| Total assets for Respondent as of the close of the previous fiscal year. |  |
| Copy of the Respondent’s most recent balance sheet, income statement and cash flow statement. |  |
| Copy of the Respondent’s most recent audited balance sheet, income statement and cash flow statement. |  |

**3. Defaults and Adverse Situations**

|  |  |
| --- | --- |
| Describe, in detail, any situation in which Respondent (either individually or as part of a consortium, joint venture or other group), or an affiliate of Respondent, defaulted or was deemed to be in noncompliance of its contractual obligations to transact business in the energy sector within the past five years including, without limitation, to purchase or deliver energy, capacity or other market products at retail or wholesale, or for the purchase or sale of electricity or natural gas, and including any financing agreements or financing provisions of any agreement.  Explain the situation, its outcome and all other relevant facts associated with the event.  If there was litigation, provide the case caption, index number and court.  Identify the name, title and telephone number of the principal manager of the customer/client who asserted the event of default or noncompliance. |  |

|  |  |
| --- | --- |
| Has Respondent, or any affiliate of Respondent, in the last five years, (a) consented to the appointment of, or was taken in possession by, a receiver, trustee, custodian or liquidator of a substantial part of its assets, (b) filed a bankruptcy petition in any bankruptcy court proceeding, (c) answered, consented or sought relief under any bankruptcy or similar law or failed to obtain a dismissal of an involuntary petition, (d) admitted in writing of its inability to pay its debts when due, (e) made a general assignment for the benefit of creditors, (f) was the subject of an involuntary proceeding seeking to adjudicate that Party bankrupt or insolvent, (g) sought reorganization, arrangement, adjustment, or composition of it or its debt under any law relating to bankruptcy, insolvency or reorganization or relief of debtors. |  |
| Describe any facts presently known to Respondent that might adversely affect its ability to provide the service(s) bid herein as provided for in the RFP |  |

**4. NEPOOL AND POWER SUPPLY EXPERIENCE**

|  |  |
| --- | --- |
| Is Respondent a member of NEPOOL? |  |
| Does Respondent have an executed and accepted Market Participant Service Agreement with ISO New England? |  |
| Name of Market Participant if Respondent will have another Market Participant be responsible for its market settlement obligations. |  |
| Describe Respondent’s experience and record of performance in the areas of power marketing, brokering, sales, and/or contracting, for the last five years within NEPOOL and/or the New England region. |  |
| Provide three references (name, title and contact information) who have contracted with the Respondent for similar load  following services within the last 2 years. |  |

**5. CONFLICTS OF INTEREST**

|  |  |
| --- | --- |
| Briefly describe any known conflicts of interest between bidder or an affiliate of bidder and Buyer, National Grid USA or any affiliates of the foregoing. |  |
| Enumerate any litigation, claims or complaints asserted by bidder or an affiliate of bidder, against Buyer, National Grid or an affiliate of any of the foregoing. |  |
| Enumerate any litigation, claims or complaints asserted against bidder or an affiliate of bidder by Buyer, National Grid or an affiliate of any of the foregoing. |  |

**6. SCOPE OF BID AND TERMS OF SALE**

|  |  |
| --- | --- |
| Will Respondent execute a contract substantially similar to the Master Power Agreement contained in Appendix B?  Explain any proposed modifications. |  |
| List all regulatory approvals required before service can commence. |  |

**7. Proposed Pricing**

**(Respondent required to use bidding spreadsheet included on procurement website)**

